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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Yao-Sheng Lee

SERIAL NUMBER:

10/616,492

FILING DATE:

07/08/03

ART UNIT:

1763

FOR:

High Temperature Anisotropic Etching of Multi-

Layer

ATTORNEY DOCKET NO.:

074361.00015

U.S. Patent and Trademark Office 2011 South Clark Place Customer Window, Mail Stop DD Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

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The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last.

Respectfully submitted,

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CERTIFICATE OF EXPRESS MAILING

I HEREBY CERTIFY that the foregoing was placed in an envelope and mailed via express mail, (ER337228602) postage paid, to the U.S. Patent and Trademark Office, 2011 South Clark Place, Customer Window, Mail Stop DD, Crystal Plaza Two, Lobby, Room 1B03, Arlington, VA 22202 on this the day of January, 2004.

The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment, to Deposit Account Number 50-1667.

Harrey S. Kauge

1222636_v1

PTO/SB/08A (08-03)

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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/616,492		
Filing Date	07/08/2003		
First Named Inventor	Yao-Sheng Lee		
Art Unit	1763		
Examiner Name			
Attorney Docket Number	074361.00015		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	.	Number-Kind Code ^{2 (if known)}			Figures Appear
	l	^{US-} 6,350,390	02-26-2002	Liu et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
		JP 09050983	02-18-1997	Fujitsu Ltd.		
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		JP 404294533	10-19-1992	Nakamura, Tomoko		
		JP 403141640	06-17-1991	Tatsumi, Tetsuya		

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Signature	Considered	
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Complet if Known for form 1449/PTO **Application Number** 10/616,492 INFORMATION DISCLOSURE Filing Date 07/08/2003 STATEMENT BY APPLICANT **First Named Inventor** Yao-Sheng Lee Art Unit 1763 (Use as many sheets as necessary) **Examiner Name** Attorney Docket Number Sheet 12 074361.00015 of

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		S. OKU, Y. SHIBATA, and K. OCHIAI, "Controlled Beam Dry Etching of InP by Using Br2-N2 Gas," J. Electron Mater., Vol 25, pp. 585-591,1996.	
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Examiner	Date	
Signature	Considered	

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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.